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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/440,102
	Filing Date	November 15, 1999
	First Named Inventor	Austin, et al
	Group Art Unit	
	Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number	KLR: 7146.053

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee transmittal <input type="checkbox"/> Fee attached form	<input type="checkbox"/> Assignment Papers (for an application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing Related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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Remarks:		

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	CHERNOFF, VILHAUER, McCLUNG & STENZEL LLP
Signature	
Date	5/4/2000

CERTIFICATE OF MAILING	
I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 <input type="checkbox"/> with sufficient postage as first class mail <input type="checkbox"/> as "Express Mail Post Office to Addressee" - mailing label no. _____	
Type or print name	Kevin L. Russell
Signature	
Date	5/4/2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS

Applicant :Austin, et al.

Group Art Unit:

Serial No.:09,440,102

Examiner :

Filed :November 15, 1999

Title :A MORE EFFECTIVE POLARIZATION COMPENSATOR FOR OPTICAL
SYSTEMS

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INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower
601 S.W. Second Avenue
Portland, Oregon 97204-3157

May 4, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants submit herewith copies of publications which they are aware of and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to

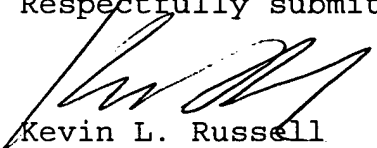
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herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the publications are enclosed herewith set forth on the attached page of Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,


Kevin L. Russell
Attorney for Applicant
Registration No. 38292
Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 4, 2000.

Dated: May 4, 2000


Kevin L. Russell

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